

CENTRAL SECURITIES DEPOSITORY (GH) LTD
FEES AND CHARGES

CSD FEES AND CHARGES (TIER 2)

Document Control

Reference: CSD-PUBL-5

Issue No: 1

Issue Date: Sept 14, 2022

Page: 2 of 4

S/N	Type of Fees and	Amount (GH¢)	Regularity																												
1	Admission fee for participants	a. Banks - GH¢20,000.00 b. Broker - GH¢ 20,000.00 c. Custodian - GH¢ 15,000.00	Once																												
2	Annual fee for Participants	a. Banks - GH¢ 4,000.00 b. Broker - GH¢ 4,000.00 c. Custodian - GH¢ 4,000.00	Once																												
3	Issuer Fees	<p>Initial Admission fee including private placements for all securities (EQUITY) Market capitalization fees in GH¢</p> <table><tr><td>0.1 - 50million</td><td>7,500</td></tr><tr><td>50.1 - 100million</td><td>15,000</td></tr><tr><td>100.1- 300million</td><td>22,500</td></tr><tr><td>300.1 - 500million</td><td>30,000</td></tr><tr><td>Over 500million</td><td>35,000</td></tr></table> <p>Additional Admission Fees Market Capitalization Fees in GH¢</p> <table><tr><td>0.1 - 50million</td><td>3,750</td></tr><tr><td>50.1 - 100 million</td><td>7,500</td></tr><tr><td>100.1 - 300 million</td><td>11,250</td></tr><tr><td>300.1 - 500 million</td><td>15,000</td></tr><tr><td>Over 500 million</td><td>17,500</td></tr></table> <p>Annual Fees Market Capitalization Fees in GH¢</p> <table><tr><td>0.1 - 10 million</td><td>3,000.00</td></tr><tr><td>Over 10 million</td><td>5,000.00</td></tr></table> <p>Fixed income securities Issued by Government, Corporates, and Metropolitan & Municipal Assemblies shall attract an Issuer fee of 0.018% of the face value of issued securities. The issuer fee of 0.018% shall be apportioned as follows:</p> <table><tr><td>a. CSD</td><td>0.015%</td></tr><tr><td>b. Securities and Exchange Commission</td><td>0.003%</td></tr></table>	0.1 - 50million	7,500	50.1 - 100million	15,000	100.1- 300million	22,500	300.1 - 500million	30,000	Over 500million	35,000	0.1 - 50million	3,750	50.1 - 100 million	7,500	100.1 - 300 million	11,250	300.1 - 500 million	15,000	Over 500 million	17,500	0.1 - 10 million	3,000.00	Over 10 million	5,000.00	a. CSD	0.015%	b. Securities and Exchange Commission	0.003%	Once Once Annual Once
0.1 - 50million	7,500																														
50.1 - 100million	15,000																														
100.1- 300million	22,500																														
300.1 - 500million	30,000																														
Over 500million	35,000																														
0.1 - 50million	3,750																														
50.1 - 100 million	7,500																														
100.1 - 300 million	11,250																														
300.1 - 500 million	15,000																														
Over 500 million	17,500																														
0.1 - 10 million	3,000.00																														
Over 10 million	5,000.00																														
a. CSD	0.015%																														
b. Securities and Exchange Commission	0.003%																														
4	Collateralized Lending Classic Repo (GMRA)	GH¢ 50.00 on both sides 0.005% by both counterparties on each leg of the transaction	Per transaction																												
5	Assignment/Pledging	Admission Fee - GH¢ 2,000.00 Annual Renewal Fee - GH¢ 500.00 Fee per pledge - GH¢ 100.00	Once Annually Per transaction																												

CSD FEES AND CHARGES (TIER 2)

Document Control

Reference: CSD-PUBL-5

Issue No: 1

Issue Date: Sept 14, 2022

Page: 3 of 4

6	Trade Settlement Fees (each side) (i) DVP (ii) FOP (Change in Beneficiary Ownership)	a. Transaction fee on ordinary shares, preference shares, mutual funds, unit funds, etc. is 0.7% on both sides of the value of the trade (i) CSD 0.15% (ii) GSE 0.4% (iii) SEC 0.15% b. The transaction fee on fixed income securities is 0.01% on both sides and shall be appropriated as follows: CSD 0.003% GSE 0.005% SEC 0.002%	Per transaction
7	Statement of Account on Request	Individuals GH¢ 20.00 Institutions GH¢ 20.00	Per securities account Per securities account
8	Transfer of Securities	GH¢20.00 Per security transfer Internal Transfer* Fees 0.15% of the value of the securities	Per each transfer
9	Investor Account Services	The fees shall be as follows: Institutional/Corporate Investors-GH¢1,500 Individual Investors - GH¢ 500	Per annum
10	Custody Fee	Shares - 0.005%	Per annum
11	Withdrawal	For each withdrawal by an individual investor – 0.1% of the value of the security but shall not exceed GH¢2,000.00 For each withdrawal by an institutional investor – 0.15% of the value of the security but shall not exceed GH¢ 5,000.	Per transaction
12	Training	GH¢ 1,000.00 per participant	Per head

Document Owner and Approval

The Central Securities Depository (GH) Ltd is the owner of this document and is responsible for ensuring that it is reviewed in line with the review requirements of the ISMS.

A current version of this document is available to the public on CSD's website www.csd.com.gh

This procedure was approved by the Chief Executive Officer (CEO) and is issued on a version-controlled basis under his signature.

Signature:

Date:

Change History Record

Issue	Description of Change	Approval	Date of Issue
1	Initial issue	CEO	16/10/2016
2	Document control, document owner and approval, and change history record was introduced	CEO	14/09/2022